

Attorney Docket No: NDIS-002/01US

PATENT

Express Mail Label Number: EL514032418US  
Date of Deposit: November 5, 2001

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By:

Douglas Gow

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Eric L. Schwartz, et al.

Serial No.: 09/917,228

Examiner: Not yet assigned

Filed: July 29, 2001

Art Unit: 2171

Confirmation No.: 9201

For: **METHOD AND APPARATUS FOR ANALYZING A PATIENT MEDICAL INFORMATION DATABASE TO IDENTIFY PATIENTS LIKELY TO EXPERIENCE A PROBLEMATIC DISEASE TRANSITION**

Attn: Official Draftsperson  
Commissioner for Patents  
Washington, D.C. 20231

**LETTER TO OFFICIAL DRAFTSPERSON**

Enclosed are 10 sheets of formal drawings to replace the informal drawings originally filed in this application.

Cooley Godward LLP  
Attn: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155  
Tel: (650) 843-5000  
Fax: (650) 857-0663  
588748 v1/PA  
CM@401!.DOC  
110501/1500

By:

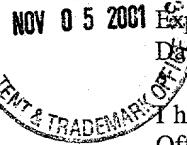
Respectfully submitted,  
COOLEY GODWARD LLP  
  
\_\_\_\_\_  
William S. Galliani  
Reg. No. 33,885

12-11-01

~~SEARCHED  
INDEXED  
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O I P E Attorney Docket No. NDIS-002/01US

PATENT



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Date: 05 NOV 2001

By:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Eric L. Schwartz, et al.

Serial No.: 09/917,228

Examiner: Not yet assigned

Confirmation No.: 9201

Art Unit: 2171

Filed: July 29, 2001

For: **Method and Apparatus for Analyzing a Patient Medical Information Database to Identify Patients Likely to Experience a Problematic Disease Transition**

Commissioner for Patents  
 BOX MISSING PARTS  
 Washington, D.C. 20231

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e) mailed September 4, 2001, enclosed are:

- copy of the Notice to File Missing Parts of Application
- executed Declaration
- Power to Prosecute
- Assertion of Entitlement to Small Entity Status
- Application Data Sheet
- Other: Letter to Official Draftsperson with formal drawings (10 sheets) Fig 1-10
- A copy of the Official Filing Receipt marked in red to show corrections that are needed. Please make the following corrections in the Official Filing Receipt:

Issuance of a corrected filing receipt is respectfully requested.

and the payment of the following fee(s):

- Filing fee of \$716
- Surcharge fee for Late Filing of Declaration of \$65
- Other fees:

Total Fee due: \$781.

- Check No. 15810 in the amount of \$781 for the total fee is attached.
- Please charge \$\_\_\_\_\_ to Deposit Account No. 03-3117 for the total fee.  
This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

PA

Dated: November 5, 2001

Cooley Godward LLP  
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3000 El Camino Real  
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Fax: (650) 857-0663

By:

Respectfully submitted,  
**COOLEY GODWARD LLP**

  
\_\_\_\_\_  
William S. Galliani  
Reg. No. 33,885



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,228	07/29/2001	Eric L. Schwartz	NDIS-002/01US

23419  
COOLEY GODWARD, LLP  
3000 EL CAMINO REAL  
5 PALO ALTO SQUARE  
PALO ALTO, CA 94306

O I P E  
NOV 05 2001  
S C & T  
TRADEMARK OFFICE

**CONFIRMATION NO. 9201**

**FORMALITIES LETTER**



\*OC00000006508400\*

Date Mailed: 09/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

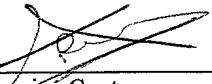
### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$722.
  - \$162 for 9 total claims over 20.
  - \$560 for 7 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1562.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/13/2001 JAD001	000000021 033117	09917226	29.00 CH
01	FC:201	00	OP
02	FC:202	00	OP
03	FC:203	01	OP
04	FC:205	36.00	